

any person is affixed pursuant to power of attorney or similar authority a copy of such power of attorney or other authority shall be also filed with the registration statement.

(c) Registration statements shall be prepared on paper 8 1/2 x 11 inches in size. Exhibits and financial statements, unless specifically prepared for the filing, may be submitted in their original size. All copies of any statements, financial statements, or exhibits should be clear, easily readable and suitable for photocopying.

(d) Information required by any item of the registration statement may be incorporated by reference in answer or partial answer to any other item. Information contained in any financial statement, annual report, proxy statement, statement filed by the government authority, or any other document may be incorporated by reference in answer to any item of the registration statement. Excerpts of documents may be filed as exhibits if the documents are extensive. Documents already on file with the commissioner need not be attached as exhibits. References to information contained in exhibits or in documents already on file shall clearly identify the material and shall specifically indicate that such material is to be incorporated by reference in answer to the item. Matter shall not be incorporated by reference in any case where such incorporation would render the statement incomplete, unclear or confusing.

(e) Where an item requires a summary or outline of the provisions of any document, only a brief statement shall be made as to the most important provisions of the document. In addition to such statement, the summary or outline may incorporate by reference particular parts of any exhibit or document on file with the commissioner and may be qualified in its entirety by such reference. In any case where 2 or more documents required to be filed as exhibits are substantially identical in all material respects except as to the parties thereto, the date of execution, or other details, a copy of only one of such documents need be filed with a schedule identifying the omitted documents and setting forth the material details in which such documents differ from the documents a copy of which is filed.

(f) Information required need be given only insofar as it is known or reasonably available to the person filing the statement. If any required information is unknown and not reasonably available to the person filing, either because the obtainment thereof would involve unreasonable effort or expense, or because it rests peculiarly within the knowledge of another person not affiliated with the person filing, the information may be omitted, subject to the following conditions:

1. The person filing shall give such information on the subject as it possesses or can acquire without unreasonable effort or expense, together with the sources thereof; and

2. The person filing shall include a statement either showing that unreasonable effort or expense would be involved or indicating the absence of any affiliation with the person within whose knowledge this information rests and stating the result of a request made to such person for the information.

(g) If it is impractical to furnish any required information, document or report at the time it is required to be filed, there may be filed with the commissioner as a separate document an application 1) identifying the information, document or report in question, 2) stating why the filing

thereof at the time required is impractical, and 3) requesting an extension of time for filing the information, document or report to a specified date. The application shall be deemed granted unless the commissioner within 15 days after receipt thereof, shall deny the request.

(h) In addition to the information expressly required to be included in the registration statement, there shall be added such further material information, if any, as may be necessary to make the information contained therein not misleading. The person filing may also file such exhibits as it may desire in addition to those expressly required by the statement. Such exhibits shall be so marked as to indicate clearly the subject matters to which they refer.

(i) Any amendment for the registration statement shall include on the top of the cover page the phrase: "Amendment No. \_\_\_\_ to" and shall indicate the date of the amendment and not the date of the original filing.

(15) INSTRUCTIONS FOR COMPLETION OF CONSENT TO JURISDICTION STATEMENT. (a) The Consent to Jurisdiction Statement form is intended to be a guide in the preparation of the statement required by s. 617.11 (5), Stats. It is not intended to be a blank form furnished by the office of the commissioner of insurance.

(b) The statement shall be manually signed in the manner prescribed on the form. If the signature of any person is affixed pursuant to a power of attorney or other similar authority, a copy of such power of attorney or other authority shall also be filed with the statement.

(c) Statements shall be prepared on paper 8 1/2 X 11 inches in size.

(16) SEPARABILITY. If any provision of this rule shall be held invalid the remainder of the rule shall not be affected thereby.

(17) PENALTY. Violations of this rule or any order issued thereunder shall subject the person violating the same to s. 601.64, Stats.

(18) SEVERABILITY. If any part of this rule or its application to any person or circumstance is held invalid, the invalidity does not affect other parts or applications of the rule which can be given effect without the invalid part or application, and to this end the parts of the rule are declared to be severable.

EXHIBIT 1

INSURANCE HOLDING COMPANY SYSTEM  
REGISTRATION STATEMENT

Filed with the Office of the Commissioner of Insurance of the State of Wisconsin

BY

\_\_\_\_\_  
Name of Registrant

On Behalf of the Following Insurance Companies

Name

Address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_, 19 \_\_\_\_\_

Name, Title, Address and Telephone Number of Individual to Whom Notices and Correspondence Concerning this Statement Should be Addressed:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(1) *Identity and Control of Registrant*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(2) *Organizational Chart*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(3) *Controlling Affiliate*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(4) *Biographical Information*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(5) *Litigation or Administrative Proceedings*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(6) *Financial Statements, Reports and Proxy Materials*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(7) *Transactions, Relationships and Agreements*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(8) *Amendments*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SIGNATURE**

Pursuant to the requirements of ch. 617, Stats., and section Ins 12.01 Wis. Adm. Code the Registrant has caused this registration statement to be duly signed on its behalf in the city of \_\_\_\_\_ and state of \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_\_\_\_

\_\_\_\_\_  
(Name of Registrant)

(SEAL)

BY

\_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Title)

Attest:

\_\_\_\_\_  
(Signature of Officer)

\_\_\_\_\_  
(Title)

**CERTIFICATION**

The undersigned deposes and says that he has duly executed the attached registration statement dated \_\_\_\_\_, 19\_\_\_\_, for and on behalf of \_\_\_\_\_; that he is the

\_\_\_\_\_  
(Name of Company)

\_\_\_\_\_ of such company, and that he has authority

\_\_\_\_\_  
(Title of Officer)

to execute and file such instrument. Deponent further says that he is familiar with such instrument and that the facts therein set forth are true to the best of his knowledge, information and belief.

(Signature) \_\_\_\_\_

(Type or print name beneath) \_\_\_\_\_

**EXHIBIT 2  
CONSENT TO JURISDICTION STATEMENT**

Filed with the Office of the Commissioner of Insurance, of the state of Wisconsin

BY

\_\_\_\_\_  
Name of Affiliate

On Behalf of the Following Insurance Companies

Name

Address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_, 19\_\_

Name, Title, Address and Telephone Number of Individual to Whom Notices and Correspondence Concerning this Statement Should be Addressed:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**CONSENT TO JURISDICTION**

The, (I), \_\_\_\_\_, an affiliate of \_\_\_\_\_, (Insurer)

an insurer authorized to do business in the state of Wisconsin, pursuant to the requirements of ch. 617, Stats., and section Ins 12.01 Wis. Adm. Code do hereby consent to the jurisdiction of the Commissioner of Insurance and the courts of the state of Wisconsin.

**SIGNATURE**

\_\_\_\_\_ has caused this statement to be duly signed on its behalf in the city of \_\_\_\_\_ and state of \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_

(Name of Registrant)

\_\_\_\_\_  
(Name of Registrant)

(SEAL)

BY

(Name)

(Title)

Attest:

\_\_\_\_\_  
(Signature of Official)

\_\_\_\_\_  
(Title)

## CERTIFICATION

The undersigned deposes and says that he has duly executed the attached statement dated \_\_\_\_\_, 19 \_\_\_\_, for and on behalf of \_\_\_\_\_, that he is the \_\_\_\_\_

(Name of Registrant)

(Title of Official)

of such company, and that he is authorized to execute and file such instrument. Deponent further says that he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his knowledge and belief.

(Signature) \_\_\_\_\_

(Type or print name beneath) \_\_\_\_\_

**History:** Cr. Register, July, 1972, No. 199, eff. 8-1-72; am. (1), (3), (4), (5), (intro.) and (g), (7) through (10), (11) (a), renum. (12) to be (13) and am., (13) to be (14) and am. (a), (14) to be (15) and am. (a), (15) to be (16), (16) to be (17) and am. cr. (5) (h), (12) and (18), Register, July, 1978, No. 271, eff. 8-1-78; am. (9), Register, March, 1979, No. 279, eff. 4-1-79.