

Eth 2,3

Filed August 27, 1974  
3:30 pm. C.N.J.

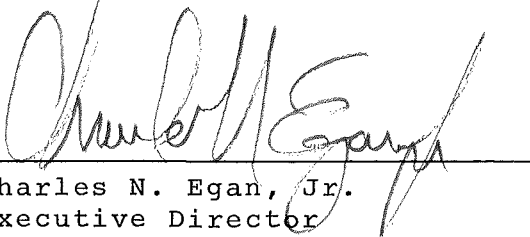
STATE OF WISCONSIN

STATE ETHICS BOARD

I, Charles N. Egan, Jr., Executive Director of the State Ethics Board, and legal custodian of the files and records of the State Ethics Board, certify that the attached is a copy of the rules which were adopted by the State Ethics Board on August 20, 1974.

I further certify that I have compared the copy of the rules with the original and that it is a true and correct copy of said original rules.

IN TESTIMONY WHEREOF, I have  
hereunto set my hand on this  
22<sup>nd</sup> day of August,  
1974, in the City of Madison.

  
Charles N. Egan, Jr.  
Executive Director

STATE OF WISCONSIN  
STATE ETHICS BOARD

ORDER OF THE STATE ETHICS BOARD  
ADOPTING RULES

Pursuant to the authority vested in the State Ethics Board by Chapter 227 of the Wis. Stats. and section 11.08(1)(a) of the Wis. Stats., the State Ethics Board adopts rules as follows:

Section Eth. 2 and Eth. 3 of the Wisconsin administrative code are adopted to read:

Ch. Eth. 2 - Statement of Economic Interests

2.10 FILING BY PERSONS APPOINTED TO POSITIONS IN S. 20.923, WIS. STATS. A person who is appointed to a position identified in s. 20.923, Wis. Stats., except positions identified in that section as supreme court positions, investment board positions, and teaching personnel of the university of Wisconsin system, shall file an initial statement of economic interests no later than 21 days from the effective date of the appointment or before taking the oath of office, if such oath is required by law.

2.11 ACCESS TO STATEMENTS OF ECONOMIC INTERESTS. (1) Request to Examine. Each person wishing to examine a statement of economic interests must obtain from the board a "request to examine a statement of economic interests" form, which such person must complete and sign. The completed form must be presented to the board with proof of identification of the person making the request in the form of a valid driver's license or another form of valid identification. The board shall indicate in the appropriate space on the form the proof of identification presented. Upon giving a statement

of economic interests to the person requesting examination, the board shall indicate the time on the form. No statement of economic interests shall be removed from the office of the board. Examination of a statement shall take place in the area specified. Upon return of the statement to the board, the board shall indicate the time of return on the form. The board shall transmit one copy of the completed form to the person whose statement of economic interests was examined. One copy shall be placed in the file of the statement of economic interests examined. One copy shall be placed in a duplicate file, if any. One copy shall be placed in a general chronological file of "requests to examine statements of economic interests".

(2) Request for a Copy. Each person wishing a copy of a statement of economic interests must make a request to the board on a "request to examine a statement of economic interests" form, which such person must complete and sign. If the completed form is presented to the board in person, proof of identification of the person requesting a copy must be presented in the form of a valid driver's license or another form of valid identification. The board shall indicate in the appropriate space on the form the proof of identification presented. If the completed form is not presented to the board in person, the signature of the person requesting a copy must be acknowledged by a person authorized to acknowledge a signature. The board

shall transmit one copy of the completed form to the person whose statement of economic interests was copied. One copy shall be placed in the file of the statement of economic interests copied. One copy shall be placed in a duplicate file, if any. One copy shall be placed in a general chronological file of "requests to examine statements of economic interests". Fees for a copy of a statement of economic interests:

- (a) If request for a copy does not require mailing, 10¢ per page.
- (b) If request for a copy requires mailing, 25¢ per page.

#### Ch. Eth. 3 - Enforcement

3.01 FAILURE TO FILE A STATEMENT OF ECONOMIC INTERESTS AS REQUIRED BY LAW. If a person fails to file a statement of economic interests by the deadline established for filing, or if a person fails to disclose economic interests required to be disclosed, the board shall issue a notice to such person. The notice shall be sent by certified mail and shall provide:

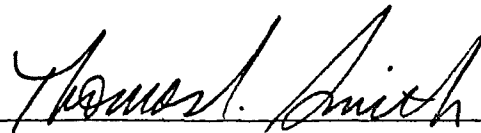
- (1) A specific indication of the nature of such person's failure to file a statement of economic interests as required by law.

(2) A date by which such person shall file a statement of economic interests as required by law. The date set for filing the statement shall not be less than 10 days from the date the notice is issued.

(3) A statement which explains what action the board will take to enforce the financial disclosure provisions of the law.

These rules contained herein shall take effect as provided in s. 227.026(1), Wis. Stats.

Dated this 20th day of August, 1974

A handwritten signature in cursive script, reading "Thomas S. Smith", written over a horizontal line.

Thomas S. Smith, Chairman  
State Ethics Board