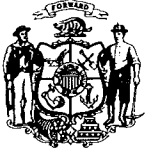


ETF 3

Filed April 1, 1974
2:30 P.M. G.L.P.

State of Wisconsin \ DEPARTMENT OF EMPLOYE TRUST FUNDS

 Clyde M. Sullivan
Secretary

 201 EAST WASHINGTON AVENUE
MADISON, WISCONSIN 53702
TELEPHONE 266-3285
AREA CODE 608

April 1, 1974

IN REPLY REFER TO NO.:

 James J. Burke
Revisor of Statutes
411 West, State Capitol
Madison, Wisconsin

Dear Mr. Burke:

Enclosed is an Order Adopting Rules and a Certification of such rules. The original in each case has been forwarded to the Secretary of State.

The rules were noticed for public hearing in the Wisconsin Administrative Register for February, 1974, No. 218. The hearing was held on March 11, 1974; no objection to the proposed rules was received then, or at any other time. Subsequently, in accordance with the requirements of Chapter 162, Laws of 1973, the proposed rules were submitted to, and approved by, the Joint Survey Committee on Retirement Systems, as shown by the enclosed statement from the Committee.

Sincerely,

A handwritten signature in cursive script that reads "C. M. Sullivan".

 C. M. Sullivan
Secretary

CMS:fc

Enclosure

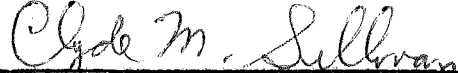
State of Wisconsin)
)
Department of Employee Trust Funds) ss

To All Whom These Presents Shall Come, Greetings:

I, Clyde M. Sullivan, Secretary of Employee Trust Funds, and custodian of the official records of the Wisconsin Department of Employee Trust Funds, do hereby certify that the annexed rules, relating to the operation of the Department, were duly approved and adopted by the Secretary of Employee Trust Funds on March 28, 1974, following their approval by the Employee Trust Funds Board.

I further certify that said copy has been compared by me with the original on file in the office of the Department, and that same is a true copy thereof, and of the whole of such original.

In Testimony Whereof, I have hereunto set my hand at the Capitol, in the City of Madison, this 28th day of March, 1974.



Clyde M. Sullivan
Clyde M. Sullivan
Secretary of Employee Trust Funds

Order of the Secretary of Employee Trust Funds
Adopting Rules

Pursuant to authority vested in the Secretary of Employee Trust Funds by section 15.05 (1) (b), Wis. Stats., and with the approval of the Employee Trust Funds Board, the Secretary of Employee Trust Funds hereby adopts rules as follows:

Chapter ETF 3 of the Wisconsin Administrative Code is adopted to read:

Wisconsin Administrative Code
Rules of
Department of Employee Trust Funds

Chapter ETF 3

Confidentiality of Records

ETF 3.01 Individual personal information. Individual personal information within the meaning of s. 40.03, Wis. Stats., is all information in any individual record of the department, including but not limited to date of birth, earnings, contributions, interest credits, beneficiary designations, creditable service, marital status, etc., but not including information in any statistical or other report or summary in which individual identification is not possible.

(1) Such individual personal information may be disclosed as required for the proper administration of the department, including discussion of any such information in any meeting of any board created under ss. 15.16 or 15.165, Wis. Stats., and including disclosure in any written record of the proceedings of any such board.

(2) Such individual personal information may be disclosed, except as otherwise prohibited, to the individual whose record contains such information or to his duly authorized representative.

(a) Disclosure to the individual whose record contains such information may be made in person, by telephone, or in writing, upon proper identification of such individual.

(b) Disclosure to the duly authorized representative of an individual may be made in person, by telephone, or in writing, upon proper identification of such authorized representative. Authorization by an individual must be in writing, except that to meet urgent circumstances any bureau director may authorize the disclosure of information without written authorization when he is satisfied that the person to whom the information is to be given is authorized to receive such information.

(c) In case of death, disabling injury or disease, disclosure shall be made only to a proper beneficiary or the duly authorized representative of such beneficiary or to the legal representative of the individual (or his estate) whose record is the subject of inquiry.

(d) Membership in an employee organization does not create a blanket right of the organization staff to receive information from the records of the member.

(3) Disclosure may be made to any public officer or employe for use in the discharge of his official duties. If any request for disclosure of information does not appear to be reasonably related to the official duties of the requestor, the officer or employe shall be advised to put his request in writing and to state the specific need for the information requested.

(4) Disclosure may be made in writing or by personal testimony in response to any court order which establishes that the information requested is relevant to a pending court action.

(5) Notwithstanding any other authority, medical records may be disclosed only pursuant to a court order.

(6) The department will not furnish lists of members, participants, annuitants or beneficiaries to any person or organization whatsoever.

The rules contained herein shall take effect as provided in section 227.026 (1) (intro.), Wis. Stats.

Dated March 28, 1974

Clyde M. Sullivan

Clyde M. Sullivan
Secretary of Employee Trust Funds



State of Wisconsin \ JOINT SURVEY COMMITTEE ON RETIREMENT SYSTEMS

SENATOR REUBEN LA FAVE
CHAIRMAN

BLAIR L. TESTIN
RESEARCH DIRECTOR
ROOM 318, 122 W. WASHINGTON AVENUE
MADISON, WISCONSIN 53703
TELEPHONE . 266-3019

MAR 22 1974
EMPLOYEE TRUST FUNDS
OFFICE OF THE SECRETARY

March 20, 1974


Mr. C. M. Sullivan, Secretary
Department of Employee Trust Funds
Room 151, G.E.F. #1
201 E. Washington Avenue
Madison, Wisconsin 53702

Dear Mr. Sullivan:

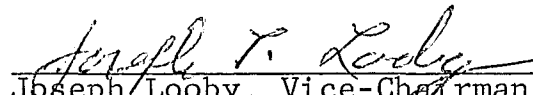
In compliance with Section 4 of Chapter 162, Laws of 1973, the members of the Joint Survey Committee on Retirement Systems have reviewed the final draft of rules concerning "Confidentiality of Records", and, "Intrastate Retirement Reciprocity: as submitted by your department. This Committee believes that the tentative rules reflect legislative intent and therefore, recommends no changes in the final draft forms.

Sincerely,


Reuben La Fave, Co-Chairman



Alvin Baldus, Co-Chairman


James Devitt, Vice-Chairman


Joseph Looby, Vice-Chairman


Richard Olson, Public Member

Warren M. Schmidt, Secretary


Marvin Van Cleave, Representative of Ins. Comm.