DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

## ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

1. Type of Estimate and Analysis ☑ Original ☐ Updated ☐ Corrected			
Administrative Rule Chapter, Title and Number     Ch. DE 8, Patient Dental Records			
3. Subject Dental Patient Records; record Retention, Record Guidelines			
4. Fund Sources Affected ☐ GPR ☐ FED ☐ PRO ☐ PRS ☐ SEG ☐ SEG-S	5. Chapte 20.165(1	er 20, Stats. Appropriations Affected )(g)	
6. Fiscal Effect of Implementing the Rule  ☑ No Fiscal Effect ☐ Increase Existing Revenues ☐ Indeterminate ☐ Decrease Existing Revenues	☐ Could	se Costs Absorb Within Agency's Budget ase Cost	
7. The Rule Will Impact the Following (Check All That Apply)  State's Economy  Local Government Units  Public Utility Rate Payers  Small Businesses (if checked, complete Attachment A)			
8. Would Implementation and Compliance Costs Be Greater Than \$20 million?  ☐ Yes ☐ No			
9. Policy Problem Addressed by the Rule Currently there are no guidelines or policies on patient dental records retention in chs. DE 1 to 13. Licensees report that hard copy retention of records requires storage and in some cases, off-site storage. Electronic record storage is an option and hard-copy storage could be reduced depending on retention policies. In both cases, a reduction in cost is evitable in the long-term.			
10. Summary of the businesses, business sectors, associations representing business, local governmental units, and individuals that may be affected by the proposed rule that were contacted for comments.  Licensed dentists and dental firms.			
11. Identify the local governmental units that participated in the development of this EIA.  None known.			
12. Summary of Rule's Economic and Fiscal Impact on Specific Businesses, Business Sectors, Public Utility Rate Payers, Local Governmental Units and the State's Economy as a Whole (Include Implementation and Compliance Costs Expected to be Incurred)  There are no known adverse economic impacts on these specific businesses.			
13. Benefits of Implementing the Rule and Alternative(s) to Implementing the Rule The rule considers s. 146.81 (4), Stats., patient health records, making the rules under the authority of the Dentistry Examining Board in compliance with state Statutes. An option would be to continue without guidelines on patient records.			
14. Long Range Implications of Implementing the Rule  The overhead cost of hard copy record storage is expected to be reduced over time.			
15. Compare With Approaches Being Used by Federal Government None found.			
16. Compare With Approaches Being Used by Neighboring States (Illinois, Iowa, Michigan and Minnesota) With the exception of the state of Illinois, the three adjacent states require dental records retained for periods of 5, 7 or 10 years.			
17. Contact Name		18. Contact Phone Number	
Jean MacCubbin		608.266.0955	

This document can be made available in alternate formats to individuals with disabilities upon request.

STATE OF WISCONSIN DEPARTMENT OF ADMINISTRATION DOA-2049 (R03/2012) DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

## ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

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## ATTACHMENT A

<ol> <li>Summary of Rule's Economic and Fiscal Impact on Small Businesses (Separately for each Small Business Sector, Include Implementation and Compliance Costs Expected to be Incurred)</li> </ol>
2. Summary of the data sources used to measure the Rule's impact on Small Businesses
<ul> <li>3. Did the agency consider the following methods to reduce the impact of the Rule on Small Businesses?</li> <li>Less Stringent Compliance or Reporting Requirements</li> <li>Less Stringent Schedules or Deadlines for Compliance or Reporting</li> <li>Consolidation or Simplification of Reporting Requirements</li> <li>Establishment of performance standards in lieu of Design or Operational Standards</li> <li>Exemption of Small Businesses from some or all requirements</li> <li>Other, describe:</li> </ul>
4. Describe the methods incorporated into the Rule that will reduce its impact on Small Businesses
5. Describe the Rule's Enforcement Provisions
6. Did the Agency prepare a Cost Benefit Analysis (if Yes, attach to form)  ☐ Yes ☐ No