



WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

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CLEARINGHOUSE RULE 02-102

Comments

[NOTE: All citations to “Manual” in the comments below are to the Administrative Rules Procedures Manual, prepared by the Revisor of Statutes Bureau and the Legislative Council Staff, dated September 1998.]

2. Form, Style and Placement in Administrative Code

a. Since the rule incorporates standards by reference, consent of the Revisor of Statutes and Attorney General is needed. [See s. 2.04, Manual.] The analysis should indicate whether the consent has been given.

b. In s. DFI-Sec 4.07 (2), “or both” should be deleted and par. (a) should end with a period rather than “; or”.

3. Conflict With or Duplication of Existing Rules

a. SECTION 11 purports to amend s. DFI-Sec 4.10 (4) (b). However, it appears that par. (a), rather than par. (b), is actually being amended.

b. Since “National Association of Securities Dealers” is capitalized in current s. DFI-Sec 5.01 (1) (a), it should be shown as capitalized in the proposed rule. If it is being changed, this should be done through use of striking and underscoring.

5. Clarity, Grammar, Punctuation and Use of Plain Language

In s. DFI-Sec 4.07 (2) (a), both uses of the word “which” should be changed to “that.”