



WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

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CLEARINGHOUSE REPORT TO AGENCY

[THIS REPORT HAS BEEN PREPARED PURSUANT TO S. 227.15, STATS. THIS IS A REPORT ON A RULE AS ORIGINALLY PROPOSED BY THE AGENCY; THE REPORT MAY NOT REFLECT THE FINAL CONTENT OF THE RULE IN FINAL DRAFT FORM AS IT WILL BE SUBMITTED TO THE LEGISLATURE. THIS REPORT CONSTITUTES A REVIEW OF, BUT NOT APPROVAL OR DISAPPROVAL OF, THE SUBSTANTIVE CONTENT AND TECHNICAL ACCURACY OF THE RULE.]

CLEARINGHOUSE RULE 01-025

AN ORDER to repeal and recreate DFI-Sec 5.01 (1) and (2), 5.05 (8), 5.07 and 5.08; and to create DFI-Sec 1.02 (18) to (21), 5.01 (8), 5.03 (1) (p) and (q), 5.10, 5.11 and 5.12, relating to adopting for use in Wisconsin the Investment Adviser Registration Depository.

Submitted by **DEPARTMENT OF FINANCIAL INSTITUTIONS**

03-01-01 RECEIVED BY LEGISLATIVE COUNCIL.

03-26-01 REPORT SENT TO AGENCY.

RS:DLS:jal;tlu

