

WISCONSIN LEGISLATIVE COUNCIL STAFF

LCRC  
FORM 2

***RULES CLEARINGHOUSE***

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**CLEARINGHOUSE REPORT TO AGENCY**

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[THIS REPORT HAS BEEN PREPARED PURSUANT TO S. 227.15, STATS. THIS IS A REPORT ON A RULE AS ORIGINALLY PROPOSED BY THE AGENCY; THE REPORT MAY NOT REFLECT THE FINAL CONTENT OF THE RULE IN FINAL DRAFT FORM AS IT WILL BE SUBMITTED TO THE LEGISLATURE. THIS REPORT CONSTITUTES A REVIEW OF, BUT NOT APPROVAL OR DISAPPROVAL OF, THE SUBSTANTIVE CONTENT AND TECHNICAL ACCURACY OF THE RULE.]

**CLEARINGHOUSE RULE 00-017**

AN ORDER to amend DFI-Sec 5.01 (4) (b); and to create DFI-Sec 5.01 (4) (e), relating to investment adviser representative competency examination grandfather provisions.

Submitted by **DEPARTMENT OF FINANCIAL INSTITUTIONS**

01-20-00 RECEIVED BY LEGISLATIVE COUNCIL.

02-17-00 REPORT SENT TO AGENCY.

RS:DLS:rv

