

 **05hr\_AC-CF\_CRule\_04-067\_pt03b**



(FORM UPDATED: 08/11/2010)

**WISCONSIN STATE LEGISLATURE ...  
PUBLIC HEARING - COMMITTEE RECORDS**

**2005-06**

(session year)

**Assembly**

(Assembly, Senate or Joint)

**Committee on ... Children and Families (AC-CF)**

**COMMITTEE NOTICES ...**

- Committee Reports ... **CR**
- Executive Sessions ... **ES**
- Public Hearings ... **PH**

**INFORMATION COLLECTED BY COMMITTEE FOR AND AGAINST PROPOSAL**

- Appointments ... **Appt** (w/Record of Comm. Proceedings)
- Clearinghouse Rules ... **CRule** (w/Record of Comm. Proceedings)
- Hearing Records ... bills and resolutions (w/Record of Comm. Proceedings)  
(**ab** = Assembly Bill)                      (**ar** = Assembly Resolution)                      (**ajr** = Assembly Joint Resolution)  
(**sb** = Senate Bill)                              (**sr** = Senate Resolution)                              (**sjr** = Senate Joint Resolution)
- Miscellaneous ... **Misc**

\* Contents organized for archiving by: Stefanie Rose (LRB) (May 2012)

- (c) Self-expression and communication.
- (d) Opportunities for physical exercise to encourage gross and fine motor development.
- (e) Guidance and assistance in the development of daily living skills.
- (f) Activities appropriate to a resident's ethnic culture.
- (g) Opportunities for activities geared towards the individual interests of residents.

(2) **RELIGIOUS TRAINING AND PRACTICE.** Each resident shall be provided with opportunities for voluntary religious expression and participation in religious education and attendance at services compatible with the religious preference of the resident, or a parent or guardian of the resident.

(3) **RECREATION.** A variety of indoor and outdoor recreational activities and developmentally appropriate play equipment shall be offered.

(4) **TELEPHONE USAGE.** Telephone usage by a resident shall be governed by the resident rights under s. HFS 57.24 and the resident's treatment plan or placement agreement.

**HFS 57.23 Treatment planning and assessment.** (1) **ASSESSMENT.** (a) *Residents.* Within 30 calendar days after the date a resident is admitted to the group home, a staff member shall perform a comprehensive written assessment of the resident for use in the development of a treatment plan. If the child is admitted to the group home under a voluntary agreement for respite care, the assessment shall be completed by the date of admission to the group home and shall include all of the following:

1. Information about the resident's developmental, behavioral, educational and medical history; family and significant relationships; legal history; substance abuse history and any past treatment.

2. A description of the resident's current status, including mental status, medical needs, current activities, educational status, any current and recent substance abuse usage and personal strengths.

(b) *Children of Residents.* Assessments of children of residents are not required.

(2) **TREATMENT PLAN.** (a) Upon completion of the assessment required under sub. (1), the program director shall develop a written treatment plan with the participation of the resident, a parent or guardian and the legal custodian, if available, and the persons who will provide the required services to the resident. A completed treatment plan for each resident shall be placed in the resident's record maintained by the group home under s. HFS 57.38, and shall include all of the following:

1. A description of the resident's strengths, needs, and preferences.

2. Treatment goals for the resident and the time frames for achieving those goals.

3. A description of behavior interventions to be utilized with the resident. The licensee shall ensure that methods of behavior intervention are positive, based on the resident's needs, stage of development and behavior and promote self control.

4. Specific services and supports to be provided to achieve the treatment goals, and names of persons, agencies or position titles responsible for providing services and implementing any of the treatment goals.

5. Permanency planning goals.

6. Goals related to independent living skills, if the resident is 15 years of age or older.

7. Specific indicators that treatment goals have been achieved.

8. Any court ordered conditions.

9. Projected length of stay and conditions for discharge.

10. Visits to the resident by parents and other family members with the approval of the placing agency and in accordance with clients' right standards to ensure that an appropriate relationship is maintained between the resident and family members.

11. Arrangements for public school attendance.

12. Consideration of the additional requirements for care of custodial parents and expectant mothers under s. HFS 57.36 and care for children under 6 years of age under s. HFS 57.37, as applicable.

(b) Each treatment plan shall be reviewed at least once every 3 months. The review shall be conducted by all of the persons included in the initial development of the plan, if possible. All of the following shall be reviewed and documented:

1. Progress made toward achieving the goals established in the treatment plan and any barriers encountered in achieving the goals.

2. Any changes in the treatment plan, including any changes to specific indicators of revised goals, time frames for achievement of treatment goals, and service providers.

(3) **DISCHARGE PLANNING.** (a) Preparation for discharge shall begin at the time of admission with the outlining of goals to be achieved and ongoing modification as progress towards goals dictates. The group home shall document in the resident's record efforts made by staff members to prepare the resident and the resident's family for discharge.

(b) The resident; the parent, guardian, or legal custodian; and the placing agency shall be given an opportunity to participate in developing a post-discharge plan. The plan shall include recommendations for continuing or additional services upon discharge and the name of the person or agency to receive the resident upon discharge, if applicable.

**HFS 57.24 Resident rights.** (1) The licensee shall be knowledgeable of and ensure that staff members and volunteers observe the patient rights and grievance resolution procedures in s. 51.61, Stats., and ch. HFS 94, for each resident who receives services for treatment of

mental illness, a developmental disability, alcoholism or drug dependency. Residents that are not specifically identified as coming under s. 51.61, Stats., and ch. HFS 94 shall have rights and grievance resolution procedures that are comparable to those found in s. 51.61, Stats., and ch. HFS 94.

(2) A copy of the HFS 94 patient's rights and the group home grievance procedure shall be posted in each group home in a prominent place accessible to residents, staff members and visitors.

Note: Patients' rights information and posters can be obtained at the Department's website at [dhfs.wisconsin.gov/bqaconsumer/publications/ClientsRtsPubs.htm](http://dhfs.wisconsin.gov/bqaconsumer/publications/ClientsRtsPubs.htm).

**HFS 57.25 Medical care.** (1) CONSENT. (a) Any medical examination or service provided to a resident shall be provided only by an individual licensed to perform the examination or service being provided. Before an examination or service is provided, written consent to perform the examination or service shall be obtained as follows:

1. For a resident who is under 14 years of age, written consent of a resident's parent or guardian.

2. For a resident who is between 14 and 18 years of age, consent of the resident's parent or guardian with the consent of the resident whenever feasible.

3. For a resident who is 18 years of age or older, consent of the resident is required unless the resident has been deemed incompetent by a court and has a court appointed guardian or legal custodian, in which case the consent of the guardian or legal custodian is required.

(b) Consent shall include consent to administer emergency medical services including surgery for life threatening situations when a parent, cannot immediately be reached. Verbal consent may be obtained in an emergency situation where time or distance precludes obtaining written consent. Both the written consent and any verbal consent shall be documented in the resident's record, by indicating who obtained the consent, who gave the consent and that person's relationship to the resident, and what specific services are authorized by the consent. A verbal consent shall be valid for 10 calendar days, during which time there shall be a good faith effort to obtain written consent.

(2) MEDICATION ADMINISTRATION. (a) *Information required to administer medication.* No staff member may administer medication to a resident unless the staff member has received the group home's policies established under s. HFS 57.05 (2) (c) for administering and monitoring medication use.

(am) After receiving the information described in sub. (2)(a), staff shall receive the following:

1. Procedure for administering the medication being given as described by the physician, pharmacist or as indicated on the label of an over the counter medication or a prescribed medication or both. If the label on prescribed medication is not clear, a staff member shall contact the pharmacy that filled the prescription for clarification.

- (3).
  2. Procedures for documenting the administration of medication as specified under sub.
  3. The purpose of the medication.
  4. Any potential adverse side effects of the medication being administered.
  5. Procedure to follow if a resident refuses medication, including refusal of psychotropic medication as described in sub. (7).
  6. Known drug allergies of the resident.
  7. Any other information that may be relevant to administration of the medication.

(b) *Limitation on who can administer medication.* Medication may be administered to a resident only in the presence of a staff member that has been authorized in writing by the program director or the group home manager, to administer medication.

(c) *Self administration.* Medication may be self-administered by a resident only under all of the following conditions:

1. Self-administration is authorized in writing from the prescribing practitioner.
2. There is no demonstrated history of risk that the resident may harm self through abuse or overdose.
3. The resident's treatment plan includes an evaluation by the program director of the resident's capability to self-administer medication.
4. The resident recognizes and distinguishes the medication or treatment and knows the condition or illness for which the medication or treatment is prescribed, the correct dosage, and when the medication or treatment is to be taken.
5. The medication is not a psychotropic medication as defined in sub. (7) (a).

(3) **MEDICATION ADMINISTRATION DOCUMENTATION.** (a) Immediately upon administering medication to a resident or a resident self-administering medication, the staff member administering or supervising the administration of medication shall write all of the following in the resident's record:

1. Full name of the resident to whom the medication was administered.
2. Date and time the medication was administered.
3. Name and dosage of the medication administered or medical treatments received.
4. Signature of the staff member who administered or supervised the administration of medication.
5. Any refusal of medication.

6. Any adverse reaction to the medication and steps taken to notify the resident's health care provider, parent, guardian, or legal custodian.

7. Any error in medication administration and the steps taken to notify the resident's physician as required in sub. (5).

(b) Each entry made in the under this subsection shall be written in ink.

(4) ADVERSE REACTION TO MEDICATION. In the event of an adverse reaction to any medication, a staff member shall immediately notify the resident's parent or guardian and the attending physician.

(5) MEDICATION ERRORS. The attending physician shall be notified in the event of a medication error. The department and placing agency shall be notified as required in ss. HFS 57.13 (1) (e) and 57.135.

(6) MEDICATION STORAGE AND DISPOSAL. The licensee shall comply with all of the following requirements for storing medication:

(a) Medication including over-the-counter medication, shall be kept in the container in which it was purchased or prescribed. No person may transfer medication that has been prescribed or purchased over-the-counter to another container or change the label on any medication, unless the person is a pharmacist as defined in s. 450.01 (15), Stats.

(b) Medication shall be locked and stored in a location that is inaccessible to children. Only staff members who are designated in writing by the program director shall have access to keys to the medication. Prescription and over-the-counter medication shall not be stored next to chemicals or other contaminants.

(c) Medication shall be kept under acceptable conditions of sanitation, temperature, light, moisture, and ventilation according to the requirements of each medication. Medication that requires refrigeration shall be stored in a separate locked compartment or container that is properly labeled, stored separately from food items, and kept inaccessible to children.

(d) Medication for internal consumption shall be stored separately from medication for external application.

(e) Within 72 hours of the medication's expiration date, the date the medication is no longer in use by the resident for whom the medication was prescribed or purchased, or the date the resident is discharged, unused medication shall be returned to a parent, guardian, or legal custodian of the resident, for removal from the group home or shall be destroyed by the group home manager or returned to the prescribing pharmacy to be destroyed.

(f) The group home shall maintain a log of medication destroyed. The information logged shall be written in ink and shall include the amount of medication destroyed, the name of the staff member who destroyed the medication, and the name of the resident to whom the medication belongs. Whenever medication is released to a resident's parent, guardian or legal custodian, that information, including the name of the person receiving the medication, shall be documented in the resident's record.

(g) The group home shall contact the local police to destroy the medications or contact the Division Officer at the U.S. Drug Enforcement Agency (DEA) for instructions for destroying controlled substances.

Note: The address and phone number for the U.S. Drug Enforcement Agency is 1000 North Water Street, Milwaukee, WI 53202, or call (414) 297-3395, extension 5300.

(7) PSYCHOTROPIC MEDICATION. (a) *Definitions.* In this subsection, "psychotropic medication" means any drug that affects the mind and is used to manage inappropriate resident behavior or psychiatric symptoms and may include an anti-psychotic, an antidepressant, lithium carbonate or a tranquilizer.

(b) *Rights of patients.* A group home shall comply with the provisions of s. 51.61 (1) (g) and (h), Stats., for each resident who is prescribed psychotropic medication.

(c) *Non-emergency procedures.* A group home serving a resident for whom psychotropic medication is newly prescribed shall ensure that all of the following requirements are met:

1. A medical evaluation of the resident is completed by a physician detailing the reason for the type of psychotropic medication prescribed. The evaluation or screening shall be documented in the resident's record within the first 45 days after the resident has first received a psychotropic medication. Subsequent evaluations of the resident related to the administration of psychotropic medications shall be completed as recommended by the prescribing physician and the results documented in the resident's record.

2. The resident, if 14 years of age or older, and a parent, or guardian of the resident, have signed written consent forms as required under s. HFS 94.03, unless psychotropic medications are administered per court order. If the medication is administered per court order, there shall be a copy of the order in the resident's record.

3. All group home staff understand the potential benefits and side effects of the medication and have received information relating to contraindicated medications.

(d) *Emergency procedures.* For emergency administration of a psychotropic medication to a resident, a group home shall do all of the following:

1. Have authorization from a physician.
2. Whenever feasible, obtain written informed consent from a parent, or guardian, and the resident, if the resident is 14 years old or older, before using the medication unless the medication is administered per court order.

3. Comply with the group home's emergency medical procedures.

4. If written informed consent of a parent or guardian of a the resident was not obtained before administration of the medication notify the parent or guardian by phone as soon as possible following emergency administration and document the dates, times, and persons notified in the resident's treatment record.

5. Document the physician's reasons for ordering emergency administration of psychotropic medication in the resident's treatment record.

(e) *Revocation of consent or refusal to take.* 1. A resident's parent or guardian may revoke consent for non-emergency use of psychotropic medications at any time, as provided under s. HFS 94.03.

2. When a consent is revoked, the group home shall do all of the following:

a. Administer the medication pursuant to a court order or as prescribed by a physician to avoid serious physical harm to the resident or others.

b. Inform the prescribing physician and the placing person or agency of the consent revocation and document the revocation in the resident's treatment record.

3. When a resident refuses to take a prescribed psychotropic medication, the group home shall do all of the following:

a. Document the resident's reasons for refusal in the resident's treatment record.

b. Notify the resident's physician, the parent or guardian or legal custodian and the resident's placing person or agency. Notification shall be immediate if the resident's refusal threatens the resident's well-being and safety.

(f) *Administration standards.* In administering psychotropic medication, a group home shall comply with requirements for administration of prescription medication in this section and clinically acceptable standards for good medical practice. Conformance to guidelines of the department's division of disability and elder services for use and monitoring of the effects of psychotropic medications satisfies the requirement for clinically acceptable standards and for good medical practice.

Note: For a copy of the guidelines for use and monitoring of psychotropic medications, write: Bureau of Regulation and Licensing, P.O. Box 8916, Madison, WI 53708.

**HFS 57.26 Dental care.** Within 30 days after admission to a group home, each resident over the age of 3 years old who is admitted to the group home for other than respite care shall receive a dental examination unless an examination has been performed within 6 months before the resident's admission. Subsequent dental examinations shall occur at intervals not exceeding 6 months after the last examination or completion of treatment.

**HFS 57.27 Behavior intervention.** (1) PROHIBITED MEASURES. No licensee, staff member, or volunteer may do any of the following:

(a) Hit, shake, pinch, push, twist or use any other means that the staff member or volunteer knows or should know may inflict mental or physical harm or actions that may be psychologically, emotionally or physically painful to a resident.

(b) Verbally abuse a resident or use profanity, or any language that the staff member or volunteer knows or should know may ridicule a resident.

(c) Lock a resident in a room or any other place.



(d) Use any item to cover a resident's head or face or wrap the resident's body with sheets, blankets, or any other material.

(e) Require a resident to march, stand, kneel, or assume and remain in any fixed position or assign work that is not therapeutic and not a part of the resident's treatment plan.

(f) Release any noxious, toxic or otherwise unpleasant substances near the eyes or face of a resident.

(g) Authorize, direct or ask a resident to discipline another resident.

(h) Discipline one resident for the behavior or action of another resident.

(i) Employ any measure that the staff member or volunteer knows or should know is aversive, cruel, humiliating or that may be psychologically, emotionally, or physically painful, discomforting, dangerous, or potentially injurious to a resident.

(2) TIME-OUTS. (a) As used in this subsection, "time-out" means a behavior intervention technique that involves brief periods of physical separation of a resident from others.

(b) A time-out may not be used for the convenience of staff members or volunteers, as a substitute for supervision of a resident, or for a child under 3 years old.

(c) Areas used for time-outs shall be free of objects with which a resident could self-inflict bodily harm, shall provide a staff view of the resident at all times and shall be equipped with adequate ventilation and lighting.

(d) The use of time-outs shall be appropriate to the developmental level and the age of the resident and may not be for a period longer than the period of time necessary for the resident to regain control. The maximum length of time that a resident may be in a time-out on each occurrence of a time-out is as follows:

1. For a child 3 through 6 years of age, a time-out may not exceed 10 minutes.

2. For a child 7 through 10 years of age, a time-out may not exceed 15 minutes.

3. For a child over 11 years of age, a time-out may not exceed 30 minutes. The need for continued use of a time-out shall be reviewed at least every 10 minutes and documented in the resident's record.

(e) A resident that is in a time-out shall be permitted use of the toilet if requested.

(f) Any resident that is in a time-out shall be within hearing of a staff member.

(g) Within 12 hours of occurrence, there shall be documentation in the resident's record of each time-out, including the name of each staff member involved, the length of the time-out, and rationale for use.

(3) USE OF RESTRAINTS (a) *Definitions.* As used in this subsection:

1. "Mechanical restraint" means any physical device or equipment that restricts the movement of an individual or a portion of the individual's body.

2. "Physical restraint" means any action or physical contact that immobilizes or reduces the ability of an individual to move any part of his or her body.

3. "Prone restraint" means placing an individual in a face down position on the floor.

(b) *Limitations.* 1. A physical restraint shall be used only to ensure the immediate physical safety of the resident, a staff member, or others and only as a last resort. Efforts to de-escalate the situation must be made or proven ineffective before a physical restraint may be utilized. At least two staff members shall be present during the use of a physical restraint, except in a life-threatening situation.

2. If a physical restraint is used, the restraint shall be applied with the minimum amount of force and in the least restrictive manner necessary to control the resident's behavior.

3. No restraint may be used to coerce, discipline, or to retaliate against a resident.

4. A restraint or action that is contraindicated by a prescribed medication regimen or that interferes with or may interfere with or restrict a resident's breathing, circulation, or cardiac activity may not be used. The use of mechanical and prone restraints is not permitted.

5. The use of a physical restraint shall cease as soon as the danger of harm has been averted and the resident has gained control.

6 A physical restraint may only be used by staff members who have received training by recognized or qualified crisis intervention experts in verbal and physical crisis intervention techniques, de-escalation techniques, recognizing signs of distress and in the application and use of physical restraints. Staff shall receive updates of such training at least annually.

7. After an episode of physical restraint, a de-briefing shall take place with the resident and staff that were involved in the physical restraint.

(c) *Documentation and reporting.* Each staff member who uses a physical restraint or who witnesses the use of a physical restraint shall, within 24 hours of each incident, give the group home manager a written description of the incident. The group home manager shall document each incident, including date, time and a description of the circumstances of the incident in a log book and report the incident to the regional licensing office that serves the group home and the placing agency as required under s. HFS 57.13 (1) (c) and (5). Entries shall be made in ink. Each description shall include all of the following:

1. The name, age, and sex of each resident involved.

2. The date, time, and location of the incident.

3. The name and job title of each staff member involved in the restraint and each staff member or volunteer who witnessed the use of the restraint.

4. Circumstances leading up to the use of restraint, the behavior that prompted the restraint, efforts made to de-escalate the situation and the alternatives to restraint that were attempted.

5. A description of the administration of the restraint, including the holds used and the reasons such holds were necessary.

6. The beginning and ending time of the restraint and how the restraint ended.

7. Behavior of the resident during and after the use of the restraint.

8. Any injuries sustained by a resident or staff member and any medical care provided, including the name and title of the person providing the care.

9. Any follow-up debriefing provided to residents and staff.

**HFS 57.28 Clothing.** (1) The licensee shall ensure that funds allocated by the placing agency for the purchase of clothing for residents are used in such a manner that residents are provided with clothing that is individually selected and fitted, appropriate to the season, and comparable to that of others in the community.

(2) Whenever possible, each resident shall be given an opportunity to participate in the selection and purchase of his or her clothing.

(3) Each resident's clothing shall be regularly laundered and in good repair.

(4) Clothing purchased for a resident shall be the property of that resident. The group home shall keep a log in each resident's record of clothing purchases.

**HFS 57.29 Hygiene.** Each resident shall be provided with sufficient amounts of individually dispensed soap, clean towels, toilet paper, toothpaste, shampoo, deodorant, and other personal hygiene products that are gender specific to the resident population.

**HFS 57.30 Household duties.** (1) Residents shall have opportunities to share in responsibility for household duties or chores appropriate to the resident's age, developmental level, health, and ability.

(2) Household duties of residents may not interfere with school attendance, family visits, sleep, study, or religious practice and may not violate the principles of nurturing care described in s. HFS 57.205.

**HFS 57.305 Spending money.** (1) Each resident shall be given a regular, base amount of spending money appropriate to his or her age and maturity. Older residents can be given opportunities to earn extra money above and beyond the base allowance.

(2) A resident's spending money may not be withheld as a disciplinary action.

**HFS 57.31 Food and nutrition.** (1) Food shall be available and provided to residents in sufficient quantities and varieties, and shall provide for nutritional and dietary needs. Food or modified diets ordered by a physician shall be provided for those residents who have special needs. In planning menus, the religious practices and cultural patterns of the residents shall be

considered and foods offered accordingly. Menus shall meet the minimum nutritional requirements as found in Appendix B.

(2) At no time shall food be withheld from a resident.

(3) Daily menus shall be written, kept on file and available for at least 30 days after meals have been served.

(4) Spoiled or deteriorated food shall be disposed of immediately.

(5) Prepared food shall be covered and stored at temperatures that protect against spoilage. Dry foods shall be dated and stored in rigid, covered containers or single use food storage plastic bags with a zip top closure. Food in dented, bulging or leaking cans, or in cans without labels, may not be used.

(6) Leftover food that is not served shall be marked with the date of preparation and refrigerated or frozen immediately for later use.

**HFS 57.32 Education.** (1) School age residents shall be enrolled in school as soon as possible after admission to the group home. The licensee shall ensure that each resident meets the school attendance requirements under chs.115 and 118 Stats., unless otherwise excused by school officials.

(2) A group home may not provide a home-based private educational program to residents unless the program is approved by the department of public instruction as a private school under s. 118.165, Stats.

**HFS 57.33 Sleeping arrangements.** (1) Each resident shall be provided with a separate bed. A child of a custodial parent who resides in the group home shall also have his or her own crib, bed, or bassinet as appropriate for the needs of the child and may not routinely share a bed with his or her parent.

(2) A resident who is 18 years of age or older may not share a bedroom with a resident who is under 18 years of age.

(3) No resident may share a bedroom with a licensee, staff member, volunteer, household member, or with a visitor to the premises.

(4) Male and female residents may not share the same bedroom.

(5) No more than 4 residents shall occupy any bedroom. For a group home initially licensed after the effective date of this chapter, [revisor to insert effective date] no more than 2 residents shall occupy a bedroom.

(6) A room that others must pass through to get to another part of the group home shall not be used as a bedroom for a resident.

(7) Regular sleeping provisions for residents may not be in any building, apartment, or other structure that is separate from the group home structure or in an unfinished attic, unfinished basement, in a hall or in any other room that is not typically used for sleeping

purposes. No household member may be permitted to regularly sleep in any of these areas in order to accommodate a resident.

**HFS 57.34 Non-ambulatory residents.** The licensee shall ensure that non-ambulatory residents receive care and services according to that resident's treatment plan.

**HFS 57.35 Additional requirements for group homes providing respite care.** (1) **APPLICABILITY.** If a group home is licensed under s. HFS 57.51 or approved under s. HFS 57.515 to provide respite care, the licensee shall meet the additional requirements of this section.

(2) **STAFF.** (a) Each staff member who provides care for a respite care resident shall have training or work experience related to any specific condition or need of the resident for whom care is provided. Staff members with no previous training or experience working with the specific condition or need of a respite care resident shall receive at least 8 hours of supervised experience or more if necessary to provide competent care.

(b) The group home shall designate by name or position a staff member who will have primary responsibility for oversight of respite care residents.

(3) **PROGRAM STATEMENT AND POLICIES.** The program statement required under s. HFS 57.05 (1), shall address the purpose for which respite care is provided, compatibility of children with diverse needs and how the respite care program relates to other program components of the group home. The policies and procedures established under s. HFS 57.05 (2), shall include procedures on assessing the medical and dietary needs and behavioral and emotional concerns of a child admitted to the group home for respite care

**HFS 57.36 Additional requirements for group homes providing care for custodial parents and expectant mothers.**

(1) **APPLICABILITY.** If a licensee is licensed under s. HFS 57.51 or approved under s. HFS 57.515 to provide care to custodial parents or expectant mothers, the licensee shall meet the additional requirements of this section.

(2) **TREATMENT PLANNING.** The treatment plan developed under s. HFS 57.23 shall include goals and approaches for all of the following:

(a) *Parenting skills.* Parenting skills instruction that includes all of the following:

1. Prenatal and other health care services.
2. Child development.
3. Bathing and hygiene.
4. Child safety.
5. Child guidance and behavior management.

6. Domestic violence issues, sudden infant death syndrome, shaken baby syndrome, and mental health and alcohol and other drug abuse counseling as appropriate.

7. Nutrition and meal preparation.

8. Childcare options.

(b) *Life skills*. Life skills instruction that includes all of the following:

1. Family planning and relationships.

2. Independent living skills, economic self-sufficiency, budgeting and job skills.

3. Accessing community resources, transportation, and transitional housing.

(3) **MEDICAL CARE FOR EXPECTANT MOTHERS**. An expectant mother shall be provided prenatal and postnatal care from a physician or a nurse-midwife licensed under s. 441.15 (3), Stats. The licensee shall ensure that the expectant mother gives birth in a medical facility.

(4) **HEALTH SAFETY AND WELFARE OF CHILDREN OF RESIDENTS**. The licensee shall ensure the health, safety, and welfare of the children of residents and provide care to those children in compliance with this chapter.

(5) **CHILD CARE FOR CHILDREN OF CUSTODIAL PARENT**. (a) If the resident is not on the premises or is otherwise unable to care for his or her child, childcare may be provided on the premises only as follows:

1. The staff to child ratio may not be less than that specified in Table HFS 57.36. If care is provided to a mixed-age group of children, the staff-to-child ratio in Table HFS 57.36 shall be adjusted on a pro-rata basis pursuant to Appendix D.

<b>Age of Children</b>	<b>Minimum Number of Staff Members or Volunteers to Children</b>
Birth to 2 Years	1:4
2 Yrs. to 2½ Yrs.	1:6
2 ½ Yrs. to 3 Yrs.	1:8
3 Yrs. to 4 Yrs.	1:10
4 Yrs. to 5 Yrs.	1:13
5 Yrs. to 6 Yrs.	1:17
6 Yrs. and Over	1:18

2. The staff member or volunteer who provides childcare shall have completed the training requirements as set forth under s. HFS 57.37 (4).

3. If childcare is provided for payment, reimbursement or other compensation to 4 or more children under 7 years of age, the child care program must be licensed under chs. HFS 45 or HFS 46.

(b) Childcare may be provided off premises only by a child care provider that is licensed or certified under ch. HFS 45, HFS 46, or DWD 55, as applicable.

(6) **NON-CUSTODIAL PARENTS.** The licensee shall give children of residents the opportunity and encouragement to maintain involvement with non-custodial parents.

(7) **SPACE REQUIREMENTS.** In addition to the floor space required for bedrooms under s. HFS 57.40 (6) (b) 3., there shall be at least 35 square feet of additional floor space in a bedroom for each child sharing a bedroom with a parent.

(8) **WATER SUPPLY.** The annual test of private well water under s. HFS 57.40 (3) (a) 2. shall include testing for nitrate levels. If nitrate levels are determined to be over 10 milligrams per liter, the licensee shall give notice to the regional licensing office that serves the group home within 48 hours.

### **HFS 57.37 Additional requirements for care of children 6 years of age or younger**

(1) **APPLICABILITY.** If a group home is licensed under s. HFS 57.51 or approved under s. HFS 57.515 to admit children under 6 years of age as residents or if the group home provides care to a resident who is the custodial parent of a child under the age of 6;, the group home shall meet the additional requirements of this section.

(2) **DEFINITIONS.** In this section:

(a) "Infant" means a child under one year of age.

(b) "Toddler" means a child at least one year of age but less than 2 years of age.

(c) "SIDS" means Sudden Infant Death Syndrome.

(3) **TREATMENT PLAN.** A treatment plan is not required for children of residents. The treatment plan developed under s. HFS 57.23 (2) for a resident under 6 years of age shall include:

(a) Schedule of meals and feeding and types of food introduced.

(b) Toileting and diapering procedures.

(c) Sleep and nap schedule.

(d) Communication methods and comforting techniques.

(e) Developmental history.

(f) Medical history and medication management.

(4) **STAFF TRAINING.** Each staff member who provides care to a child under this section shall successfully complete at least 10 hours of training in infant and toddler care; at least 40 hours or 3 credits of early childhood training and training in infant and child CPR within 6 months after beginning employment, unless the staff member has previously received such

training and certification is current. The training in infant and toddler care shall include instruction on SIDS risk reduction and shall be approved by the department.

(5) **DIAPERING.** Wet or soiled diapers and clothing shall be changed promptly. Each child shall be changed on a surface that is cleaned with soap and water and a disinfectant solution after each use. Soiled diapers shall be placed in a plastic-lined, covered container, which shall be emptied, washed and disinfected daily.

(6) **FURNISHINGS AND BEDDING.** (a) Each child shall be provided with a bassinet, crib, or bed that is safe and appropriate to the needs of the child. Cribs shall have crib slats that are securely fastened in place and are spaced no more than 2 3/8 inches apart. Crib mattresses shall fit snugly. Bassinets and cribs shall be washed and disinfected between changes in occupancy. The top bunk of a bunk bed shall not be used for a child under 4 years of age. The top bunk shall have a safety rail if occupied by a child under 8 years of age.

(b) Pillows and sheepskins shall not be used with infants.

(c) The group home shall provide eating utensils and cups, infant seats, high chairs, car seats, strollers, rocking chairs, tables and seating and other furnishings and equipment appropriate for size and developmental level and the needs of children under 6 years of age.

(7) **SAFETY MEASURES.** (a) Strings and cords long enough to encircle a child's neck shall not be accessible to children.

(b) When infants and toddlers are present, open stairways shall be protected at the top and bottom with child safety gates. Gates shall have latching devices that adults can open easily in an emergency. Pressure gates or accordion gates shall not be used.

(c) Steam radiators, fireplaces, wood-burning stoves, electric fans, electric outlets, electric heating units and hot surfaces, such as pipes, shall be protected by screens or guards.

(d) Differences of elevation, including platforms, walkways, balconies and open sides of stairways shall be protected by railing at least 36 inches in height and with bars no greater than 4 inches apart.

(8) **WATER.** If an infant under 6 months old is in care, the annual test of private well water required under s. HFS 57.40 (3) (a) 2., shall include testing for nitrate levels. If nitrate levels are determined to be over 10 milligrams per liter, the licensee shall give notice to the regional licensing office that serves the group home within 48 hours.

(9) **NUTRITION AND FEEDING.** (a) Food shall be available to infants and toddlers in accordance with the meal patterns consistent with those specified in Appendices B and C, as applicable.

(b) If a microwave is used to heat or prepare food, procedures for heating and cooking infant formula, milk, or food in a microwave oven shall be posted near the microwave.

(c) Bottles shall not be propped. A child unable to hold a bottle shall be held whenever a bottle is given.

(d) Infants and toddlers shall not be put to bed with a bottle.



(10) **MEDICAL CARE.** Each child shall receive routine physical examinations and immunizations pursuant to s. 252.04, Stats., and ch. HFS 144, by a licensed medical professional.

(11) **TRANSPORTATION.** Whenever a child who is under 4 years of age or whose weight is 40 pounds or less is transported in a vehicle, the child shall be restrained in a car safety seat in accordance with the manufacturer's recommendations.

**HFS 57.38 Resident Records.** (1) The licensee shall maintain on the premises a record for each current resident. Each record shall contain all of the following information:

- (a) Resident's first name, last name, and alias, if any, date of birth, and gender.
- (b) Recent photograph of the resident.
- (c) Voluntary placement agreement or court order or both as appropriate.
- (d) Referral information such as court reports and assessments from the placing agency.
- (e) Name, address and telephone number of the placing agency, parent, guardian, or legal custodian that is responsible for the resident.
- (f) Name, address, and telephone number of the person or placing agency and physician to be called in an emergency.
- (g) If the group home is a family-operated group home, a completed form HFS 872a., Information for Foster Parents Face Sheet and a completed form HFS 872b., Information for Foster Parents Checklist.

Note: Form HFS 872a, Information for Foster Parents Face Sheet and form HFS 872b, Information for Foster Parents Checklist can be found on the department's website at [dhfs.wisconsin.gov/forms/index.htm](http://dhfs.wisconsin.gov/forms/index.htm) or by telephoning any regional field office listed in Appendix A.

- (h) Treatment plan and reviews and post-discharge plan.
- (i) Current medical information including all of the following:
  1. Medical consent and signed releases.
  2. Name of physician and dentist.
  3. Dates of medical and dental examinations and recommendations for follow-up care.
  4. Immunizations.
  5. Illnesses and accidents and dates of each.
  6. Medications and treatments received and dates of each.
  7. Allergies, including allergies to food or medication.

8. Physical limitations.

(j) Name of school and current grade.

(k) Religious preference.

(L) Incident reports involving the resident for whom the record was made.

(m) Description of any resident rights that are denied or limited and disposition of any grievances.

(n) Inventory of the resident's clothing and other possessions.

(o) Non-medical signed releases and consents.

(p) Discharge summary.

(q) Any other information as appropriate.

(2) Resident records shall be maintained in a secure location pursuant to the confidentiality requirements in s. HFS 57.39. The record required in sub. (1) shall be maintained by the licensee until the resident reaches the age of 19 or 7 years after the resident is discharged from the group home, whichever is later. The record of a resident that has been discharged may be stored off the premises, but must be made available to the department upon request.

**HFS 57.39 Confidentiality.** Information and records on residents shall be kept confidential and shall be protected from unauthorized examination pursuant to s. 48.78 and 48.981 (7), Stats., or where applicable s. 51.30 (4), Stats., and ch. HFS 92.

#### SUBCHAPTER V PHYSICAL ENVIRONMENT AND SAFETY

**HFS 57.40 Physical plant and environment.** (1) (a) GENERAL REQUIREMENTS. In addition to maintaining the premises in compliance with state and local building code requirements, the licensee shall maintain the premises in a state of good repair and in a clean, safe and sanitary condition.

(b) The department may require a licensee to obtain an inspection of the premises and of the heating, electrical, plumbing, water and sewage systems to determine if any safety or health problems exist.

(2) EXITS. (a) Halls leading to exits shall be clear and unobstructed at all times. At least one exit door shall be at least 3 feet in width.

(b) All stairways serving 3 or more levels shall have a door at either the bottom or top of the stairway which shall be kept closed at all times.

(c) 1. Habitable rooms on the second floor shall have access to at least 2 exits. At least one of the exits shall be a stairway to the first floor or to grade.

2. If a child 6 years of age or over occupies a room on the second floor a window may be used as an exit if the window can be opened from the inside without the use of tools, is at least 22 inches in the smallest dimension, is at least 5 square feet in area, and has a lower sill not more than 4 feet from the floor and a window escape ladder for use in an emergency evacuation.

3. If a child under 6 years of age occupies a room on the second floor, the second exit may be an additional stairway to the first floor or grade or an exit to a balcony that is not more than 15 feet above grade with a floor that measures at least 3 feet by 3 feet and a rail that is not more than 36 inches high.

(d) Habitable rooms above the second floor shall have at least 2 exits that are both stairways to the second floor or to grade or that are to one stairway to the second floor and one stairway to grade. Windows and balconies may not be designated as exits.

(e) Habitable rooms below grade shall have at least 2 exits. At least one exit shall be a stairway to grade or a door that is below grade level that leads to grade level by an outdoor stairway. The second exit may be either a stairway leading to a first floor above grade or a window that meets the requirements in par. (c) 1.

(3) WATER AND SEWER. (a) *Water supply.* 1. The group home shall have an adequate and safe water supply.

2. If the group home's water supply is from a private well, the well shall be approved by the department of natural resources. Water samples from an approved well shall be tested at least annually for lead and bacteria by a laboratory certified under ch. HSS 165. If the group home population includes children under 6 years old or expectant mothers the water shall be tested as required in ss. HFS 57.36 (9) or 57.37 (8), as applicable.

(b) *Sewage.* The group home shall have an adequate sewage disposal system. If the group home has a private sewage disposal system, the system shall be approved by the appropriate governmental approving authority.

(c) *Water temperature.* The group home shall be equipped with a water heater sufficient to meet the needs of all residents. The hot water delivered to the group home's sinks, tubs, and showers shall not exceed 110 degrees Fahrenheit.

(4) HEATING, COOLING AND VENTILATION. (a) The group home shall be equipped with a heating system that is capable of maintaining a temperature of at least 68 degrees Fahrenheit.

(b) All rooms, including bedrooms must be provided with adequate heating, cooling and ventilation.

(c) If the temperature inside the group home exceeds 80 degrees Fahrenheit during summer months, the licensee shall provide for air circulation with fans or by other means.

(d) The heating unit shall be maintained in a safe condition as determined by an annual inspection by an individual professionally qualified to conduct such inspections.

(e) Portable space heaters shall not be used.

(f) Bath and toilet rooms shall have either a window that opens or be equipped with exhaust ventilation to the outside.

(5) LIGHTING. All habitable rooms shall have electric lighting sufficient to meet the needs of the group home and its residents.

(6) SPACE REQUIREMENTS. There shall be at least 200 square feet of living space for each resident of the home. "Living space" includes any area that is used by a resident in daily living and excludes unfinished basement, attic, attached garage or similar areas, not usually occupied by a resident in daily living. The licensee shall ensure compliance with all of the following space requirements:

(a) *Bathroom requirements.* 1. Bathrooms shall be indoors.

2. A group home serving only males or only females shall have at least one full bathroom that contains a toilet, sink, and a tub or shower available for use by residents. A group home serving males and females shall have 2 full bathrooms that are gender specific, each containing a toilet, sink and a tub or shower, unless the department grants an exception under s. HFS 57.02.

3. A bathroom that can be accessed only through a room used as a bedroom may not be counted as being available for use by residents who do not occupy that bedroom.

4. If the total number of residents and children of residents over the age of 2 years exceeds 10, there shall be 2 full bathrooms.

(b) *Bedroom requirements.* 1. Each bedroom shall have a door that allows for privacy and a window that allows natural light to enter.

2. At least one wall of each room used as a bedroom by a resident shall be an outside wall.

3. For group homes licensed before the effective date of this rule, the floor space of each bedroom shall be as follows:

a. A bedroom that is used by one resident shall have at least 55 square feet of floor space.

b. A bedroom that is occupied by 2 residents shall have at least 50 square feet of floor space for each resident.

c. A bedroom that is occupied by 3 or more residents shall have at least 45 square feet of floor space for each resident.

d. A bedroom that is occupied by a custodial parent and that parent's child or children shall have the additional square footage required in s. HFS 57.36 (8).

4. For a group home that is licensed after the effective date of this rule, [revisor to insert effective date] all of the following shall apply:

a. A bedroom that is used by one resident shall have at least 80 square feet of floor space. If the resident is non-ambulatory or uses adaptive devices for ambulating, the bedroom shall have at least 100 square feet of floor space.

b. A bedroom that is used by more than one resident shall have a minimum of 60 square feet of floor space for each resident. If either or both of the residents are non-ambulatory or uses adaptive devices for ambulation, the bedroom shall have at least 80 square feet of floor space for each resident.

5. The minimum space between beds, cribs, and bassinets shall be at least 2 feet. There shall be at least 5 feet of space between bunk beds. The top deck of a bunk bed shall be at least 3 feet below the lowest point of the ceiling and there shall be at least 3 feet between upper and lower bunks.

(c) *Dining areas.* Dining areas shall be of sufficient size to permit all residents and staff to sit down for meals at one time.

(d) *Kitchen.* 1. The kitchen shall be equipped with a stove, refrigerator, cooking and eating utensils, and any other appliance or utensil that may be required to meet the needs of each resident.

2. Each refrigerator and freezer shall be equipped with a clearly visible, accurate thermometer. Refrigerators shall be maintained at 40 degrees Fahrenheit or lower. Freezers shall be maintained at 0 degrees Fahrenheit or lower.

(e) *Storage space.* A group home shall have storage space to accommodate clothing and other personal items of each resident of the group home.

(f) *Study areas.* There shall be a quiet area in the group home suitable for study.

(g) *Laundry.* Laundry facilities shall be available to meet the needs of all residents. Any laundry equipment in the group home shall be installed and vented in accordance with the manufacturer's recommendations.

**HFS 57.41 General safety precautions.** (1) Windows and doors that are used for ventilation shall be properly screened.

(2) Electrical systems and appliances shall be in good repair and properly protected.

(3) Tubs and showers shall have safety strips or other non-slip surfaces applied to prevent slipping.

(4) Group homes constructed on or after the effective date of this chapter (revisor to insert effective date) shall provide ground fault outlets for any electrical outlet within 6 feet of a water source in bathrooms, kitchens, laundry rooms, basements, in the garage and on the exterior of the group home.

(5) Staff members and volunteers shall use universal precautions when exposed to blood and blood containing body fluids and tissue discharges.

(6) The indoor and outdoor premises shall be free of hazards.

(7) There shall be no flaking or deteriorating paint on exterior or interior surfaces.

(8) No lead based paint or other toxic finishing material may be used on the premises of the group home.

(9) Stairways, halls, and aisles shall be maintained in good repair, adequately lighted and free from obstacles.

(10) Stairs shall have a non-slip surface.

(11) Exterior stairs, walks, ramps and porches shall be maintained in a safe condition and free from the accumulation of water, ice, or snow.

(12) Dangerous equipment and harmful substances unnecessary for the operation of the group home may not be kept on the premises. All unnecessary but potentially dangerous equipment, toxic substances and medications shall be kept inaccessible to residents.

**HFS 57.42 Fire safety.** (1) **SMOKE DETECTION** (a) Each group home shall have a smoke detection system. The system shall be an electrically interconnected system listed by Underwriter's Laboratory or a radio signal-emitting system which has at least one centrally mounted alarm horn which, when activated can be heard throughout the premises.

(b) A smoke detector shall be located at each of the following locations in the home:

1. Head of every open stairway.
2. Next to doors leading to every enclosed stairway on each floor level.
3. Every hall. Smoke detectors located in a hall shall not be spaced more than 30 feet apart nor more than 15 feet from any wall.
4. Common use rooms, including living rooms, dining areas, lounges, family rooms and recreation rooms, except the kitchen.
5. Bedrooms.
6. Basement.
7. Attic, if accessible.

(c) The smoke detection system shall be tested at least monthly and results documented and kept on file at the group home.

(d) A smoke detector that is located in a room used as a bedroom may be battery operated, free-standing and separate from the interconnected system.

(2) **FIRE EVACUATION.** (a) There shall be a diagrammatic floor plan of the group home posted on each floor level of the group home clearly indicating the direction of each exit for emergency evacuation.

(b) Evacuation drills shall be conducted with residents at least monthly and documented, including the date and time of the drill, the evacuation time and any problems encountered during the drill. An evacuation drill shall be conducted between the hours of 12 a.m. and 6 a.m. at least once every 6 months.

(c) Staff members shall personally evacuate each resident with limited mobility or having limited understanding regarding evacuation procedures from the group home. If the group home population includes a hearing impaired resident, there shall be written procedures specifying that a staff member shall immediately alert the resident in case of fire.

(3) FIRE EXTINGUISHERS. (a) Each group home shall have a fire extinguisher in the size, type, and location specified by the local fire department. At least one fire extinguisher shall be located in the kitchen and on each floor level of the group home.

(b) Each extinguisher shall be operable at all times, inspected at least once a year by a qualified fire safety expert and have a label indicating its present condition and date of its last inspection.

(4) FIRE SAFETY INSPECTION. The licensee shall have an annual fire safety inspection. The results of the inspection shall be reported to the regional licensing office that serves the group home as required under s. HFS 57.13 (9).

**HFS 57.43 Furnishings and appliances.** (1) The living space shall be sufficiently furnished and in a good state of repair, maintained in a clean condition, and shall allow for free and informal use by residents.

(2) Each bed shall be of such size as to ensure comfort of the resident. Each bed shall have suitable springs in good condition, a clean, comfortable mattress that is covered with a mattress pad and a waterproof covering when necessary, a pillow, at least 2 sheets, a bedspread, and blankets adequate for the season.

(3) The top bunk of a bunk bed shall not be used by residents with conditions limiting mobility and shall have a safety rail if used by a child under 8 years of age.

(4) Triple-deck bunk beds shall not be used.

**HFS 57.44 Sanitation.** (1) All garbage containing food waste shall be kept in covered, non-combustible watertight containers. Garbage shall be removed from the group home daily.

(2) Dishes, silverware, and utensils shall be maintained and stored in a clean and sanitary manner. Eating and drinking utensils shall be thoroughly cleaned with detergent and hot water and rinsed after each use.

(3) Single service dinnerware and utensils shall not be used at meals on a regular basis and may not be re-used.

(4) All bed linens shall be changed at least once a week or more often if necessary.

## SUBCHAPTER VI LICENSING AND ENFORCEMENT

**HFS 57.45 Group home location.** (1) A person who operates a group home shall be licensed by the department pursuant to this chapter and s. 48.625, Stats. Only one group home license may be issued for any one location.

(2) For each location proposed for licensure by an applicant in sub. (1), the individual, corporation, or agency as applicable shall make a good faith effort to establish and maintain a community advisory committee as specified in s. 48.68 (4), Stats.

**HFS 57.46 Other licenses and uses.** Upon licensure, a licensee may not accept any other license, including a child welfare or child care license, perform a service, or conduct a business on the premises, or combine group home activities with any service or business owned or operated by the licensee without the written approval of the department.

**HFS 57.47 Group home capacity limits.** The combined total of the number of residents residing in a family-operated group home and the number of children of the licensee shall not exceed 10.

**57.48 General conditions for approval of application.** (1) Any person licensed to operate a group home shall be a responsible, mature individual who is fit and qualified.

Note: The term "fit and qualified" is defined in s. HFS 57.04 (15) to mean displaying the capacity to successfully nurture and care for children and shall not include a history of a civil action, criminal conviction or administrative rule violation that substantially relates to the care of a child; a history of exercising unsound judgement; or abuse of alcohol or drugs. For help in determining whether a civil action, criminal conviction, or administrative rule violation substantially relates to the care of children consult s. HFS 12.06.

(1m) In determining whether an applicant is fit and qualified, the department may consider any of the circumstances in ss. HFS 57.50 (1), 57.56 (1), and 57.57 (1) (a) by an owner, agent, staff member, household member, or other individual directly or indirectly participating in the operation of the group home.

(2) If the department has reason to believe that the physical health or mental health of an applicant, licensee, or household member may endanger a resident, the department may issue a denial or revocation of the license or may require that a written statement be submitted by a physician, or if appropriate, by a licensed mental health professional that certifies the condition of the individual and the possible effect of that condition on the group home or the residents in care.

**HFS 57.49 License application.** (1) Except as provided in sub. (3), a person who wishes to operate a group home shall submit to the department an application signed as specified in sub. (2) at least 60 days before the date proposed to begin operating the group home. The application shall be made on the department's form CFS 375 and shall be accompanied by all of the following:

(a) The license fee required under s. 48.625 (2) (a), Stats.

(b) A completed HFS 64 background information disclosure form which shall be completed and signed as follows:



1. If the applicant is one or more individuals, a background information disclosure form shall be completed and signed by each individual applicant and each household member who is 10 years old or older.

2. If the applicant is a corporation or an agency, a HFS 64 background information disclosure form shall be completed and signed by an authorized representative.

(c) Inspection reports verifying that the proposed group home's physical structure, electrical, heating and plumbing systems have been inspected and are in safe operating condition according to applicable industry standards.

(d) Documentation of efforts to establish and maintain the community advisory committee as required under s. HFS 57.45 (2).

(e) Payment of any forfeitures, fees, assessments related to any licenses issued by the Department to the applicant, or a written statement signed by an authorized representative stating that no fees, forfeitures, assessments are owed.

(f) Proof of the insurance required under s. HFS 57.08.

(g) A copy of the personnel policies required under s. HFS 57.17 (1) and any revisions as appropriate.

(h) A copy of the group home's policies and procedures required under s. HFS 57.05.

(i) If the applicant for licensure is a corporation, proof of incorporation or authorization to do business in Wisconsin, as required under s. HFS 57.52.

(j) Any other information requested by the department.

Note: A copy of the application form CFS 375 may be requested in writing or by phone from the appropriate regional licensing office listed in Appendix A. The completed application and license fee should be returned to the regional office from which the application was received.

(2) If an applicant for licensure is an agency or corporation, an authorized representative of the agency or corporation as applicable shall sign the application. If the applicant for licensure is one or more individuals, the application shall be signed by each individual.

(3) A licensee applying for a license for an additional group home location shall be in compliance with this chapter in the operation of the existing group home and in compliance with regulations for any other facility licensed by the department and operated by the licensee. Any forfeitures due under s. 48.715, Stats., on other facilities licensed by the department shall be paid before the issuance of an additional license.

(4) Except as provided in s. HFS 57.50 (3), the department may not process an application for a license from a person who is prohibited from licensure under s. HFS 57.50 or who meets the conditions under s. 48.715 (5), Stats., if the applicant has had a license or certification to operate a group home revoked or denied within the last 2 years before the date of the application. A person is deemed ineligible to submit an application for a license within 2 years from the date the person had a group home license revoked or denied.

**HFS 57.50 Licensure prohibited.** (1) The department shall not license a person if any one of the following circumstances exists:

(a) The department has received certification pursuant to s. 49.857 (2), Stats., from the department of workforce development that the applicant or licensee has failed to pay court-ordered payments of child or family support or expenses related to the support of a child or former spouse or has failed to comply with a subpoena or warrant by the department of workforce development or a county child support agency related to paternity or child support proceedings.

(b) The department has received certification pursuant to s. 73.0301, Stats., from the department of revenue certifying that the applicant or licensee has a delinquent tax liability.

(c) The licensee or applicant has given false information to a government agency, failed to submit a complete application, withheld relevant information, or failed to pay any fee, forfeiture or assessment due to a government agency.

(2) The department may deny a license if any one of the following circumstances exists:

(a) A person has behavior or a mental or physical condition that gives reasonable concern for the safety of residents.

(b) Another group home operated by the licensee is in substantial non-compliance with the licensing rules or has outstanding fines or forfeitures.

(c) If an applicant, owner, or licensee, a proposed or current staff member, volunteer, household member or any other person who has or will have contact with residents is any of the following:

1. A person who is the subject of a pending criminal charge or who has been convicted of a felony or misdemeanor that substantially relates to the care of children or activities of the group home.

Note: Examples of charges and offenses the department will consider in making a determination under this paragraph that an act substantially relates to the care of children are: sexual assault; abuse of a resident of a facility; a crime against life and bodily security; kidnapping; abduction; arson of a building or of property other than a building; robbery; receiving stolen property from a child; a crime against sexual morality such as enticing a minor for immoral purposes or exposing a minor to harmful materials; and interfering with the custody of a child. The list is illustrative. Other types of offenses may be considered.

2. A person who has been determined by a government agency to have abused or neglected a child or who has been determined to have committed an offense that is substantially related to the care of children or activities of the group home.

3. A person against whom a finding of abuse or neglect or of a misappropriation of property of a client has been entered on the Wisconsin caregiver registry maintained by the department pursuant to ch. HFS 13.

4. A person who is the subject of a court finding that the person has abandoned, abused, or neglected a child.

5. A person who fails to submit an HFS 64 background information disclosure form completed under the requirements of s. 48.685, Stats., and ch. HFS 12, and this chapter, or a person who has made false statements on the background information disclosure form.

(3) If a person's license is denied or revoked under sub. (1), reinstatement of the license is pursuant to ss. 49.857 or 73.0301, Stats., as applicable.

**HFS 57.51 Probationary and regular license.** (1) The initial license granted to an applicant for a group home license shall be a probationary license. A probationary license shall be valid for a period of 6 months from the date of issuance unless sooner revoked or suspended. A probationary license may be renewed for an additional 6-month period.

(2) If a probationary licensee wishes to apply for a regular license, the probationary licensee shall submit to the department, the application and materials specified in s. HFS 57.49, at least 30 days before the date the probationary license expires.

(3) Upon receipt of a complete application for a regular license and except as provided in s. HFS 57.50, the department may issue a regular license which shall be valid and continued for a period of 2 years unless sooner revoked or suspended.

(4) If the licensee wishes to continue a regular license, the licensee shall submit to the department, the application and materials specified in s. HFS 57.49 at least 30 days before the end of the 2 year period. Upon receipt of a complete application to continue a regular license, and except as provided in s. HFS 57.50, the department may continue a regular license for an additional 2 years.

(5) If the department does not receive a complete application to continue a regular license at least 30 days before the end of each 2 year period, the department shall issue a written warning to the licensee. If the licensee fails to apply for a continuance of the license within 30 days after receipt of the warning, the department may revoke the license under s. HFS 57.56 for failure to apply for a continuance of the license as required in sub. (3), or take any other action appropriate to protect the health safety and welfare of the residents.

**HFS 57.515 License provisions.** The licensee may not change a license provision without first receiving approval from the department. The licensee shall submit to the department a written request for approval to amend the license. The request shall identify the provision that the licensee wishes to have amended or included under the license and the specific reasons that the provision should be amended or included under the license. Receipt of an amended license from the department shall be evidence of the department's approval of any requested changes to the license provisions.

Note: A request for approval for an amended license may be sent to the regional office that serves the group home.

**HFS 57.52 Corporate licensure.** (1) Before a corporation may be issued a license to operate a group home the corporation shall be incorporated under the laws of Wisconsin or shall have written authorization from the department of financial institutions to do business in Wisconsin.

(2) The corporation shall designate an authorized representative who shall have responsibility for the administration of the group home.

**HFS 57.53 Transferability of license.** A group home license may not be transferred.

**HFS 57.54 Posting of license and citations.** The group home license and any exceptions to the license granted by the department under s. HFS 57.02, citations issued by the department in its most recent inspection on the department's form DCS-294, and any notice of enforcement action including notices of license revocation, non-renewal, or summary suspension shall be posted in a place in the group home where it can be easily viewed by the public.

**HFS 57.55 Sanctions and penalties.** The department may impose an order or penalty as provided in s. 48.715 (2) and (3) Stats.

**HFS 57.56 License revocation.** (1) In addition to the reasons for revocation specified under ss. 48.66 (5) and 48.715 (4) and (4m), Stats., the department may revoke a regular or probationary license under any of the following circumstances:

(a) If an applicant, owner, or licensee, a proposed or current staff member, volunteer, household member or any other person who has or will have contact with residents is any of the following:

1. A person who is the subject of a pending criminal charge or who has been convicted of a felony or misdemeanor that substantially relates to the care of children or activities of the group home.

Note: Examples of charges and offenses the department will consider in making a determination under this paragraph that an act substantially relates to the care of children are: sexual assault; abuse of a resident of a facility; a crime against life and bodily security; kidnapping; abduction; arson of a building or of property other than a building; robbery; receiving stolen property from a child; a crime against sexual morality such as enticing a minor for immoral purposes or exposing a minor to harmful materials; and interfering with the custody of a child. The list is illustrative. Other types of offenses may be considered.

2. A person who has been determined by a government agency to have abused or neglected a child or who has been determined to have committed an offense that is substantially related to the care of children or activities of the group home.

3. A person against whom a finding of abuse or neglect or of a misappropriation of property of a client has been entered on the Wisconsin caregiver registry maintained by the department pursuant to ch. HFS 13.

4. A person who is the subject of a court finding that the person has abandoned, abused, or neglected a child.

5. A person who fails to submit an HFS 64 background information disclosure form completed under the requirements of s. 48.685, Stats., and ch. HFS 12, and this chapter, or a person who has made false statements on the background information disclosure form.

(b) The licensee or applicant has given false information to a government agency, failed to submit a complete application, withheld relevant information or failed to pay any fee, forfeiture or assessment due to a government agency.

(2) Upon receipt of a notice of revocation, the licensee may not admit any child for care to the group home without written approval of the department. By the effective date of a license revocation, the licensee shall have arranged for discharge of the residents in the manner specified under s. HFS 57.20 (6).

(2m) (a) If the department determines not to grant a license or to revoke a license, the department shall notify the applicant or licensee in writing of its decision and the reasons for that decision. Revocation of a license shall take effect either immediately upon notification or 30 days after the date of the notice unless the decision is appealed under s. HFS 57.58. Whether the revocation shall take effect immediately upon notification or 30 days after the date of the notice shall be determined in accordance with the criteria found under s. 48.715 (4m) (a) and (b), Stats.

(b) Upon receipt of the notice of revocation, and during any revocation proceedings that may result, the licensee may not accept for care any child not enrolled as of the date of receipt of the notice without written approval of the department's licensing representative.

**HFS 57.57 Summary suspension of a license.** (1) (a) The department may close a group home by summarily suspending a regular or probationary license if the department believes the action is required to protect the health safety, and welfare of residents. Reasons for summary suspension include all of the following:

1. Failure of the licensee to maintain or restore environmental protection for the residents, such as heat, water, electricity or telephone service.

2. The licensee or a person under the supervision of the licensee has committed an action or has created a condition relating to the operation or maintenance of the group home that directly threatens the health, safety or welfare of any child under the care of the licensee.

3. The licensee, an employee, a volunteer or any other person in regular contact with the residents in care has been convicted of, or has a pending charge for a crime against life or a crime of bodily injury.

4. A licensee, staff member, volunteer or any other person in regular contact with the residents in care is the subject of a current investigation for alleged child abuse or neglect under s. 48.981, Stats.

5. The reasons specified under s. HFS 57. 56 (1) (a).

(b) Notice of summary suspension of a license may be written or verbal and shall specify the reason for the department action and the date the action becomes effective.

(2) If the department determines that circumstances which caused the action in sub. (1) require the immediate relocation of the residents, the department shall order the licensee orally and in writing to relocate the residents to suitable housing and to notify for each resident, the placing agency and parent or guardian within 24 hours after relocating the resident into that housing.

(3) Within 72 hours after the order in sub. (1), the department shall either permit the reopening of the group home or proceed to revoke the group home's license.

(4) The division of hearings and appeals shall hold a preliminary hearing within 10 working days after the effective date of the order in sub. (1) to determine if the license should remain suspended during revocation proceedings. The division of hearings and appeals shall give written notice of the hearing to the licensee and the department.

**HFS 57.58 Appeal procedure.** (1) Except as provided in subs. (3) and (4), a department decision to deny, refuse to renew, or revoke a license or to issue an order or decision affecting the licensee under ss. 48.64 or 48.715, Stats., may be reviewed under ch. 227, Stats.

(2) A request for a hearing shall be in writing and submitted to the department of administration's division of hearings and appeals. The request for a hearing shall be sent to the division of hearings and appeals within 10 days after receipt of the notice of the department's action. A request for hearing that is mailed to the division of hearings and appeals shall be considered filed with the division on the date of the postmark. A request for hearing that is hand-delivered to the division of hearings and appeals shall be considered filed on the date the request is received by the division of hearings and appeals. A request for hearing transmitted by facsimile to the division of hearings and appeals shall be considered filed on the date and time imprinted by the division's facsimile machine on the transaction report that accompanies the document. Documents received by facsimile after midnight local time shall be deemed filed on the first following business day.

Note: A request for hearing can be submitted by mail or hand-delivered to the Division of Hearings and Appeals, at 5005 University Ave., Room 201, Madison, WI 53705-5400, or faxed to the Division at (608) 264-9885. A copy of the request should be sent to the regional licensing office listed in Appendix A that serves the group home.

(3) An appeal of a denial or revocation based on a certification by the department of workforce development of failure to pay court-ordered payments of support or failure to comply with a subpoena or warrant issued by the department of workforce development shall be filed pursuant to s. 49.857, Stats.

(4) An appeal of a denial, refusal to renew or revocation of a license based on a certification of tax delinquency from the department of revenue shall be filed within 30 days after the date on which the notice of denial, refusal to renew, or revocation as required under s.73.0301 (2) (b) 1. b., Stats.

**HFS 57.59 Complaints.** Any person having a complaint about a licensed group home or a group home operating without a license may submit that complaint to the department by telephone, facsimile, electronic mail, letter or personal interview. A department licensing representative shall investigate each complaint. The department shall send a written report of the findings of that investigation to the complainant upon request.

Note: A complaint should be sent, phoned or delivered to the regional field office listed in Appendix A that serves the group home.

**SECTION 2. HFS 57 Appendix A is created to read:**

**Chapter HFS 57  
APPENDIX A**

**FIELD OFFICES OF THE DIVISION OF CHILDREN AND FAMILY SERVICES**

**The Department of Health and Family Services licenses group homes for children through five Division of Children and Family Services field offices. Below are addresses and phone numbers of the field offices and related counties.**

**Northeastern Region**

(Green Bay)  
200 North Jefferson  
Suite 411  
Green Bay, WI 54301-5191  
(920) 448-5312

Brown, Calumet, Door, Fond du Lac, Green Lake, Kewaunee, Manitowoc, Marinette, Marquette, Menominee, Oconto, Outagamie, Ozaukee, Shawano, Sheboygan, Washington, Waupaca, Waushara, Winnebago

**Northern Region**

(Rhinelander)  
1853 North Stevens Street  
P.O. Box 697  
Rhinelander, WI 54501-0697  
(715) 365-2500

Adams, Ashland, Bayfield, Florence, Forest, Iron, Juneau, Langlade, Lincoln, Marathon, Oneida, Portage, Price, Sawyer, Taylor, Vilas, Wood

**Southeastern Region**

(Waukesha)  
141 N.W. Barstow Street, Room 104  
Waukesha, WI 53188-3789  
(262) 521-5100

Kenosha, Milwaukee, Racine, Waukesha

**Southern Region**

(Madison)  
2917 International Lane, Suite 110  
Madison, WI 53704  
(608) 243-2400

Columbia, Crawford, Dane, Dodge, Grant, Green, Iowa, Jefferson, Lafayette, Richland, Rock, Sauk, Walworth

**Western Office**

(Eau Claire)  
610 Gibson Street, Suite 2  
Eau Claire, WI 54701-3696  
(715) 836-2157

Barron, Buffalo, Burnett, Chippewa, Clark, Douglas, Dunn, Eau Claire, Jackson, LaCrosse, Monroe, Pepin, Pierce, Polk, Rusk, St. Croix, Trempealeau, Vernon, Washburn



**SECTION 3. HFS 57 Appendix B is created to read:**

**Chapter HFS 57**

**APPENDIX B**

**CACFP Meal Pattern Requirements—Ages 1 to 12**

The meal pattern shall contain, as a minimum, each of the following components in the amounts indicated for the specific age group.

	<b>Ages 1 &amp; 2</b>	<b>Ages 3, 4, &amp; 5</b>	<b>Age 6 up to 12</b>
<b>BREAKFAST</b>			
1. Milk	1/2 cup	3/4 cup	1 cup
2. Juice <sup>a</sup> or fruit or vegetable	1/4 cup	1/2 cup	1/2 cup
3. Grains/Breads: <sup>b</sup>			
Bread	1/2 slice	1/2 slice	1 slice
Cereal:			
Cold dry	1/4 cup or 1/3 oz <sup>c</sup>	1/3 cup or 1/2 oz <sup>c</sup>	3/4 cup or 1 oz <sup>c</sup>
Hot cooked	1/4 cup total	1/4 cup	1/2 cup
<b>LUNCH OR SUPPER</b>			
1. Milk	1/2 cup	3/4 cup	1 cup
2. Meat or meat alternate:			
Meat, poultry, fish, cheese	1 oz	1 1/2 oz	2 oz
Yogurt	4 oz or 1/2 cup	6 oz or 3/4 cup	8 oz or 1 cup
Egg	1 egg	1 egg	1 egg
Cooked dry beans or peas	1/4 cup	3/8 cup	1/2 cup
Peanut butter or other nut or seed butter	2 Tbsp	3 Tbsp	4 Tbsp
Peanuts or soynuts or tree nuts or seeds	1/2 oz = 50% <sup>d</sup>	3/4 oz = 50% <sup>d</sup>	1 oz = 50% <sup>d</sup>
3. Vegetable and/or fruit <sup>e</sup> (at least two)	1/4 cup total	1/2 cup total	3/4 cup total
4. Grains/Breads: <sup>b</sup>	1/2 slice	1/2 slice	1 slice
<b>SNACK</b>			
Select two of the following four components:			
1. Milk	1/2 cup	1/2 cup	1 cup
2. Juice <sup>a</sup> or fruit or vegetable	1/2 cup	1/2 cup	3/4 cup
3. Grains/Breads: <sup>b</sup>			
Bread	1/2 slice	1/2 slice	1 slice
Cereal:			
Cold dry	1/4 Cup or 1/3 oz <sup>c</sup>	1/3 cup or 1/2 oz <sup>c</sup>	3/4 cup or 1 oz <sup>c</sup>
Hot cooked	1/4 cup	1/4 cup	1/2 cup
4. Meat or meat alternate:			
Meat, poultry, fish, cheese	1/2 oz	1/2 oz	1 oz
Egg	1/2 egg	1/2 egg	1 egg
Cooked dry beans or peas	1/8 cup	1/8 cup	1/4 cup
Peanut butter or other nut or seed butter	1 Tbsp	1 Tbsp	2 Tbsp
Peanuts or soynuts or tree nuts or seeds	1/2 oz	1/2 oz	1 oz
Yogurt, plain, or sweetened and flavored	2 oz or 1/4 cup	2 oz or 1/4 cup	4 oz or 1/2 cup

a Must be full strength fruit or vegetable juice

b Must be whole grain or enriched

c Either volume (cup) or weight (oz), whichever is less.

- d No more than 50% of the requirement shall be met with nuts or seeds. Nuts and seeds shall be combined with another meat/meat alternate to fulfill the requirement.
- e Serve 2 or more kinds of vegetable(s) and/or fruit(s). Full strength vegetable or fruit juice may be counted to meet not more than one-half of this requirement.

**SECTION 4. HFS 57 Appendix C is created to read:**

**Chapter HFS 57**

**APPENDIX C**

**CACFP MEAL PATTERN REQUIREMENTS - BIRTH THROUGH 11 MONTHS**

The infant meal pattern shall contain, as a minimum, each of the following components in the amounts indicated for the specific age group.

The minimum quantity of food shall be provided to the infant, but may be served during a span of time consistent with the infant's eating habits.

**Infant Meal Pattern**

<b>Birth Through 3 Months</b>	<b>4 Through 7 Months</b>	<b>8 Through 11 Months</b>
<p><b>BREAKFAST</b></p> <p>4 - 6 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p>	<p>4 - 8 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p> <p>0 - 3 T. infant cereal<sup>2</sup> (optional)</p>	<p>6 - 8 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p> <p>2 - 4 T. infant cereal<sup>2</sup></p> <p>1 - 4 T. fruit and/or vegetable</p>
<p><b>LUNCH OR SUPPER</b></p> <p>4 - 6 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p>	<p>4 - 8 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p> <p>0 - 3 T. infant cereal<sup>2</sup> (optional)</p> <p>0 - 3 T. fruit and/or vegetable (optional)</p>	<p>6 - 8 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p> <p>2 - 4 T. infant cereal<sup>2</sup> and/or</p> <p>1 - 4 T. meat, fish, poultry, egg yolk, or cooked dry beans or peas, or</p> <p>1/2-2 oz. cheese or 1-4 oz. cottage cheese, cheese food, or cheese spread</p> <p>1 - 4 T. fruit and/or vegetable</p>
<p><b>SUPPLEMENT</b></p> <p>4 - 6 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p>	<p>4 - 6 fl. oz. formula<sup>1</sup> or breast milk<sup>5,6</sup></p>	<p>2 - 4 fl. oz. formula<sup>1</sup>, breast milk<sup>5,6</sup>, or fruit juice<sup>3</sup></p> <p>0 - 1/2 bread or</p> <p>0 - 2 crackers (optional)<sup>4</sup></p>

1 Shall be iron-fortified infant formula.

2 Shall be iron-fortified dry infant cereal.

3 Shall be full strength fruit juice.

4 Shall be from whole-grain or enriched meal or flour.

5 It is recommended that breast milk be served in place of formula from birth through 11 months.

6 For some breastfed infants who regularly consume less than the minimum amount of breast milk per feeding, a serving of less than the minimum amount of breast milk may be offered, with additional breast milk offered if the infant is still hungry.

**Required Guidelines for Infant Meal Pattern**

**Definition of Infant.** Any child less than 12 months of age.

**Definition of Infant Formula.** Infant formula defined by USDA is "any iron-fortified infant formula intended for dietary use as a sole source for food for normal healthy infants served in liquid state at manufacturer's recommended dilution".

**Parent Providing Infant Breastmilk.** The decision regarding which infant formula to feed an infant is one for the infant's doctor and parents/guardian to make together.

**SECTION 5. HFS 57 Appendix D is created to read:**

**Chapter HFS 57  
APPENDIX D  
STAFF TO CHILD RATIO WORKSHEET**

(1)	(2)	(3)	(4)
Age of Child	# of Children in Age Group	Numerical Weight for Age Group	Weight in Age Grp. Col. 2 x Col. 3
Birth to 2		0.25	
2 years		0.167	
2 1/2 years		0.125	
3 years		0.10	
4 years		0.077	
5 years		0.059	
6 years & over		0.056	
TOTAL			TOTAL

Total staff required (from column #4)

One staff member is required for a group of children whose individual numerical weights total 1.0.

**SECTION. 2: EFFECTIVE DATE.** The rules contained in this order shall take effect on the first day of the 4<sup>th</sup> month after publication in the Wisconsin administrative register, as provided in s. 227.22 (2), Stats.

Wisconsin Department of Health  
and Family Services

Dated:

By: \_\_\_\_\_  
Helene Nelson  
Secretary

SEAL: